CFA Society Indianapolis
LET'S MEASURE UP™

THE 2019 INVESTMENT FORUM

The 2019 Investment Forum is a full day program featuring these esteemed speakers:

Thomas Lee, CFA
Managing Partner, Head of Research
Fundstrat Global Advisors

Dr. David Kelly, CFA
Chief Global Strategist
J.P. Morgan Asset Management

Jim Cunnane, Jr., CFA
Chief Investment Officer, Advisory Research Investment Management

Tom Hardin
TipperX

Peter Greig, CFA
Senior Vice President, Co-Chief Investment Officer, Fixed Income
FCI Advisors

Gary Cloud, CFA
Senior Vice President, Co-Chief Investment Officer, Fixed Income
FCI Advisors

Please join CFA Society Indianapolis for the **2019 Investment Forum**. This premier event will bring together over 200 of Indiana's leading investment professionals and key decision makers. Established in 1971, CFA Society Indianapolis is a network of over 300 investment professionals, promoting the highest standards of ethics, education, and professional excellence among our members for the ultimate benefit of our community.

Investment Forum attendees will include professional money managers, investment consultants, plan sponsors, financial planners and financial advisors from Indianapolis and Indiana.

The 2019 Investment Forum will consist of a breakfast, lunch, two breaks, and five 60-minute presentations from speakers sharing unique perspectives on the market. Corporate tables will be available for purchase and this is an excellent opportunity to:

- Host your current clients
- Host your prospective clients
- Host your employees/teams

With an exceptional panel of industry experts, all participants in the investment community are sure to benefit from the information gained at what is sure to be an educational and entertaining event.

WEDNESDAY
MARCH 27, 2019
7am - 2pm
RITZ CHARLES
CARMEL, IN 46032

PROGRAM AGENDA

7:00 - 7:45a	- Check-in & Breakfast
8:00 - 9:00a	- Thomas Lee, CFA
9:00 - 10:00a	- Jim Cunnane, Jr., CFA
10:00 - 10:15a	- Break
10:15 - 11:15a	- Gary Cloud, CFA & Peter Greig, CFA
11:15 - 12:15p	- Tom Hardin
12:15 - 12:30p	- Break
12:30 - 2:00p	- Lunch & Dr. David Kelly, CFA

PRICING

Individual Pricing

$75 for Members | $125 for General Public

Corporate Tables

$800 for Gold | $600 for Silver | $400 for Bronze

CORPORATE TABLES

The Investment Forum is a unique opportunity for Indianapolis investment professionals to gather and discuss their thoughts for the economy and financial markets. We hope your firm will join us for this special occasion and support us at a level commensurate with your vision for our organization. Your pledge of support will assist the CFA Society Indianapolis in its ongoing effort to provide high quality programming to its members. It will also identify your firm as one that understands the need to support the highest standards in the financial services industry by endorsing a professional investment organization such as the CFA Society Indianapolis.

Gold Corporate Table Host

Premium Seating for 6 (front of room)
Premium logo positioning in the event program
Premium logo positioning in the event slideshow
Firm logo on the table sign

Silver Corporate Table Host

Preferred Seating for 6 (middle of room)
Preferred logo positioning in the event program
Preferred logo positioning in the event slideshow
Firm logo on the table sign

Bronze Corporate Table Host

Seating for 6 (back of the room)
Firm name on the table sign

BECOME A MEMBER

You don't have to be a charterholder to be a member – the CFA Society Indianapolis welcomes all investment and finance industry professionals and aspiring professionals. The general public is welcome to attend any of our events. However, if you think you might join us on a regular basis, you might consider one of our three types of membership! Joining our membership provides today's investment professional with a network of over 300 local colleagues. Additionally, membership offers discounted access to exciting lecture programs, career resources, social opportunities, and more. CFA Society Indianapolis provides the most current industry and CFA Institute information to its members through its programs, communications, and website.

ABOUT CFA SOCIETY INDIANAPOLIS

CFA Society Indianapolis is an association of local investment professionals organized in May of 1971.

MISSION STATEMENT

to advance professional excellence and ethics through education, collaboration and awareness of the CFA charter for the benefit of our regional investment community and public

CFA SOCIETY INDIANAPOLIS BY THE NUMBERS

318 Society Members

42% Institutional & Private Assets

418 Indianapolis Candidates

53% Institutional Asset Base

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SPEAKER BIOGRAPHIES

Thomas Lee, CFA
Fundstrat

Thomas Lee, CFA is a Managing Partner and the Head of Research at Fundstrat Global Advisors. He is an accomplished Wall Street strategist with over 25 years of experience in equity research, and has been top ranked by Institutional Investor every year since 1998. Prior to co-founding Fundstrat, he served most recently as J.P. Morgan's Chief Equity Strategist from 2007 to 2014, and previously as Managing Director at Salomon Smith Barney. His areas of expertise include Market Strategy, Small/Mid-Cap Strategy and Telecom Services.

Mr. Lee received his BSE from the Wharton School at University of Pennsylvania with concentrations in Finance and Accounting. He is a CFA charterholder and is an active member of NYSSA and the NY Economic Club.

Dr. David Kelly, CFA
J.P. Morgan

Dr. David Kelly, CFA is the Chief Global Strategist and Head of the Global Market Insights Strategy Team for J.P. Morgan Asset Management. With over 20 years of experience, David provides valuable insight and perspective on the economy and markets to the institutional investor and financial advisor global communities. David's research focuses on investment implications of an evolving economic environment. He has written extensively on all aspects of the U.S. economy and his proprietary U.S. economic forecasting model helps shape his views on both the economic landscape and prospective asset class returns. He currently sits on JP Morgan Fund's operating committee.

Prior to joining J.P. Morgan Asset Management, David served as Economic Advisor to Putnam Investments. He has also served as a senior strategist/economist at SPP Investment Management, Primark Decision Economics, Lehman Brothers and DRI/McGraw-Hill. David is a CFA® charterholder. He also has a Ph.D and M.A. in Economics from Michigan State University and a B.A. in Economics from University College Dublin in the Republic of Ireland.

Jim Cunnane, Jr., CFA
Advisory Research

Jim Cunnane, CFA, 26 years of investment experience, serves as a Managing Director and Chief Investment Officer of the Advisory Research MLP & Energy Infrastructure team. Jim oversees the firm's MLP and energy infrastructure product lines and chairs the Risk Management Committee. Jim joined the MLP team in 1996 and currently serves as a portfolio manager for the team's MLP & Energy Infrastructure accounts.

Jim holds a BS in finance from Indiana University and is a Chartered Financial Analyst (CFA®) charterholder. He serves as an independent trustee to several institutional investment plans and is a member of the Board of Directors of St. Patrick's Center.

Tom Hardin
TipperX

At age 28, Tom Hardin was a junior partner at an up-and-coming long-short equity hedge fund. He was on track to achieve his dream career, but before long, felt like he was falling behind in an increasingly competitive industry. Then, a moment arose when he made a decision to cross a very important line and the rest is history. Tom was later charged with felony securities fraud and, known as "Tipper X," became the FBI's most productive cooperating witness in Operation Perfect Hedge, a sting setup by the federal government in 2007-2013 targeting the hedge fund industry. The sting branched out into the largest insider trading investigation in 25 years, leading to over 80 guilty pleas or convictions. Since resolving his case in 2015, Tom was invited by FBI-NYC to speak to their rookie agent class in 2016 and now consults and speaks on conduct risk, ethics and compliance issues from his former frontline perspective. He holds a B.S. in Economics with a Finance concentration from the Wharton School at the University of Pennsylvania.

Peter Greig, CFA
FCI Advisors

Peter Greig, CFA is the manager of institutional fixed income portfolios, mutual funds, and managed account programs, the chair of the Fixed Income Investment Committee, and a member of the Asset Allocation Committee. He joined FCI in 1989 as a trader and securities analyst, with previous experience in the pension fund investment department at Chrysler Corporation.

Peter holds a BSBA from the University of Kansas, an MBA from Indiana University, and is a Chartered Financial Analyst (CFA®) charterholder. He serves as a Board Member of the Metropolitan Community Service Program, and is a member of both CFA Society Kansas City and CFA Institute.

Gary Cloud, CFA
FCI Advisors

Gary Cloud, CFA is the manager of institutional fixed income portfolios and mutual funds, and a senior member of the Fixed Income Investment Committee. He joined FCI in 2003 after managing a $1.5 billion portfolio of corporate, mortgage, and asset-backed securities for a Kansas City insurance company. Gary began his career in mortgage banking and spent 10 years with Bank One Corporation in consumer lending, asset acquisition, and portfolio management.

Gary holds a BA from Bowling Green State University, an MBA from the University of Dayton, and is a Chartered Financial Analyst (CFA®) charterholder. He serves as Board Chairman of the University of Kansas Medical Center Research Institute, Inc., and is a member of both CFA Society Kansas City and CFA Institute.