



The CFA Society of the Philippines

In cooperation with the
Philippine Stock Exchange, Inc.

Present a seminar on

"RELATED-PARTY TRANSACTIONS: CAUTIONARY TALES FOR INVESTORS IN ASIA"

By

Mr. Lee Kha Loon, CFA

Head, CFA Centre for Asia Pacific

Friday, September 11, 2009, 9:00PM to 11:00 a.m.

PSE Auditorium, PSE Center, Exchange Road, Ortigas Center, Pasig City

Overview

The seminar will focus on the oft-quoted related party transactions most investors in Asia are familiar with and many would be wary of. The session will first examine the different ownership structures in Asia; the chaebols in Korea, state owned enterprises in China and the family owned companies common throughout Asia. Participants will have an opportunity to take a closer look on the different types of related party transactions in the region and to learn how to deal with and manage such abusive related party transactions. The session will also explain how an effective regulatory framework will help protect investors.

Target Audience

Investors, Analysts, Fund Managers, Investment Bankers, Risk Managers, Compliance Officers, Accountants, Auditors, and Regulators

About the Speaker



Mr Lee Kha Loon, CFA is the Head, CFA Centre for Asia Pacific, working with local societies and members to establish awareness and support for the CFA Program and to promote high standards of professional conduct and financial market integrity. Mr Lee first joined the CFA Institute in 1999 as the Special Projects Director and had spent a substantial part of his 15-month assignment in Charlottesville, VA in the development of the Chartered Financial Analyst (CFA[®]) curriculum and examination. Mr. Lee has researched and written a number of papers on corporate governance in Asia, including a report on related party transactions released in January 2009. Prior to joining CFA Institute, Mr Lee had 15 years of work experience as an accountant, auditor and treasurer in the financial services industry.

Seminar Fee

Php500.00

Schedule of activities

- Registration starts at 8:30am
- The presentation will be from 9:00am until 11:00am

REGISTRATION

Please send the completed Registration Form on or before **September 9, 2009 (Wednesday)** to the CFA Philippines Secretariat, **Rizchelle Sampang-Manaog**, either via email at secretariat@cfap.cfasociety.org or through fax at **+63 (2) 3971011**.

PAYMENT DETAILS

You may deposit your payment at any Banco De Oro branches. The BDO Checking Account Name is "**CHARTERED FINANCIAL ANALYST SOCIETY OF THE PHILIPPINES, INC.**" and BDO Checking Account Number is **286-009-3229**. Kindly send copy of deposit slip to CFA Philippines Secretariat thru email at secretariat@cfap.cfasociety.org or fax at +63 (2) 3971011. You may also send a check to CFA Philippines Secretariat at Seaoil Philippines Inc., 22nd Floor Taipan Place Bldg., F. Ortigas Jr. Road, Ortigas Center, Pasig City. Please make your check payable to "**CHARTERED FINANCIAL ANALYST SOCIETY OF THE PHILIPPINES, INC.**"

