

Terms of Reference:

Advocacy and Building Market Integrity Pillar

Effective Date:

November 2020

Purpose:

The purpose of this document is to establish the Terms of Reference for the **Advocacy and Building Market Integrity Pillar**.

Purpose:

- To assist in formulating the CFA Society South Africa's ambit and principles with respect to Advocacy of member issues and related industry matters
- Advocate on behalf of members where necessary
- To ensure that the Regulatory Outreach sub-committee; the Institute Engagements sub-committee; Ethics Training and Responsible Investment Project teams deliver to the pillar within its scope
- To monitor the operational functioning of the sub-committees and project teams with assistance in planning, meeting targets and ensuring that good governance and performance of the sub-committees

Tasks:

- Formulate the Advocacy framework for CFA Society South Africa
- Ensure and support project teams and sub-committees to participate in areas within their sphere of influence
- Collate feedback from the sub-committees in line with board meeting requirements
- Ensure sub-committees and project teams collaborate where necessary with other sub-committees within the Society

2020 Measurable KPIs:

- Formulate the Advocacy framework for CFA Society South Africa
- Ensure all sub-committees within the pillar have Terms of Reference, plan and budgets for each sub-committee
- Collate feedback from the sub-committees in line with board meeting requirements
- Provide guidance and add value to the sub-committee chairs as measured by feedback from those sub-committee chairs.
- Identify at least 3 separately managed regulatory outreach interventions/projects/engagements where the CFA Society South Africa plays an active role
- Ensure the Ethics project team hosts at least 2 Ethics training workshops

Committee Structure & Operations:

1 – Chair

Delphine Govender



2 – Committee Members

TBC

3 – Volunteers

TBC

4 – Meeting Frequency (at least 2 p.a.)

Twice a year, but more likely to join the sub-committee meetings

5 – Preferred Board Feedback Dates (select 4 in order of preference)

May

September

Feb

November