2018-2019 incoming board

President
Juliana B. Dalton, CFA
Webster Bank

First Vice President - Education
Frederic C. Filippelli, CFA, ChFC, CLU
Prudential Retirement

Second Vice President - Membership & Advocacy
Pamela E. Minish, CFA, CAIA
Key Private Bank

Treasurer & Secretary
Elisabeth (“Lisa”) V. Piker, CFA
Cigna Investment Management

Third Vice President – Programs
Jim Newman, CFA, CFP
Peak Point Capital Management

Immediate Past President
John N. Fuller, CFA
H&H Investment Advisors, Inc.

Past President
Raymond H. Bovich, CFA
Aegis Wealth Partners

2017-2018 outgoing board

President
John N. Fuller, CFA
H&H Investment Advisors, Inc.

First Vice President and President-Elect – Programs
Juliana B. Dalton, CFA
Webster Bank

Second Vice President - Membership & Advocacy
Frederic C. Filippelli, CFA, ChFC, CLU
Prudential Retirement

Third Vice President - Education
Pamela E. Minish, CFA, CAIA
Key Private Bank

Treasurer & Secretary
Elisabeth (“Lisa”) V. Piker, CFA
Cigna Investment Management

Immediate Past President
Raymond H. Bovich, CFA
Aegis Wealth Partners

Past President
Kim L. DiPietro, CFA
Hart Realty Advisers
**Program Committee**
The committee organizes monthly educational programs either at breakfast, lunch or evening socials. The content may include a live speaker or webcast. The programs offer members the opportunity to maintain and improve their professional competence in an environment conducive to networking. Continuing professional education credits are awarded.

Current Chair: Juliana Dalton, CFA  
Incoming Chair: Jim Newman, CFA  

**Investment Conference Committee**
The committee selects the speakers and organizes the agenda for the Investment Conference, which occurs semi-annually in the fall and spring. The committee works with the Program chair.

Current Chair: Richard Razza, CFA & Pamela Minish, CFA, CAIA  
Incoming Chair: Juliana Dalton, CFA  

**Annual Forecast Dinner Committee**
The committee organizes the Annual Forecast Dinner and manages the financial budget. The committee selects the event speaker(s) and seeks to engage sponsors for the event and the year.

Current Chair: Frederic C. Filippelli, CFA, ChFC, CLU  
Incoming Chairs: Pam Minish, CFA, CAIA  

**Career Services Committee**
The committee organizes career programs to serve the needs of unemployed members, members seeking a career change and students interested in CFA careers. The committee seeks to develop a career day to serve the membership and community.

Current Chair: Juliana Dalton, CFA  
Incoming Chair: Jim Newman, CFA  

**Membership Committee**
The committee fulfills three primary goals: membership recruitment, renewals, and manages communication to our members. The committee also evaluates member satisfaction and oversees recognition among members for the local society and CFA Institute.

Current Chair: Frederic C. Filippelli, CFA, ChFC, CLU  
Incoming Chair: Pamela Minish, CFA, CAIA  

**Education Committee**
The committee works with the CFA Institute Education Foundation to promote programs for members. The Committee will also work with the Program, Career and Forecast Dinner Committees to create programs with educational content to fulfill the goals of the membership and the CFA Institute.

Current Chair: Pamela Minish, CFA, CAIA  
 Incoming Chair: Frederic C. Filippelli, CFA, ChFC, CLU  

**University Liaison Committee**
This committee serves as the primary communication link between the Society, universities and school programs in our region. They actively seek to develop relationships with professors and students to develop programs that are of interest to students, members and the community including the Global Research Challenge. They will also promote the CFA Charter.

Chair: Gail Bruhn, CFA  

**Advocacy Committee**
The committee serves as the focal point to promote the CFA charter, CFA Society Hartford and build relationships with employers within the society’s region.

Current Chair: Frederic C. Filippelli, CFA, ChFC, CLU  
Incoming Chair: Pamela Minish, CFA, CAIA  

**Investment Committee**
Investment committee makes recommendations about asset allocation, investments, and reviews policies.

Chair: Ned Steiger, CFA, CPA  

**Financial Literacy**
The committee works with the Junior Achievement group to bring financial literacy volunteers to Hartford region schools through volunteer involvement.

Chair: Jim Newman, CFA  

**Strategic Planning Committee**
The Hartford CFA Board serves as the primary members to develop the Strategic Plan and review it each year. The Board will establish multi-year goals and seek volunteers to assist in achieving the objectives. Anyone can participate.
NETWORKING EVENTS

Fall Social & Networking Event
September 14, 2017
Chango Rosa, Hartford
28 Registrants

Holiday Member Social
December 6, 2017
Mark Twain House
85 Registrants

Joint Spring Social Event
Omni Hotel
April 5, 2018
25 Registrants

Annual Meeting & Member Social
June 13, 2018
Dunkin Donuts Stadium
75 Registrants

COMMUNITY

CFA Society Hartford 5K Race
August 2, 2018
Bushnell Park

FINANCIAL LITERACY OUTREACH

The Connection

Asylum Hill Family Center

Junior Achievement Day

PARKVIEW

PUBLICITY

The Hartford Courant
Bushnell Playbills
Hartford Symphony Playbill
Hartford Magazine
Connecticut Magazine

BOARD MEETINGS

Wednesday, September 6, 2017
Wednesday, October 4, 2017
Wednesday, November 8, 2017
Tuesday, December 5, 2017
Wednesday, January 3, 2018
Thursday, February 1, 2018
Wednesday, March 7, 2018
Wednesday, April 4, 2018
Wednesday, May 2, 2018
Wednesday, June 6, 2018
ANNUAL FORECAST DINNER

Annual Forecast Dinner 2018
February 6, 2018
Connecticut Convention Center
364 Registrants

SEMINARS & CONFERENCES

Fall Investment Conference
October 17, 2017
“The Municipal Bond Market: What does the future hold?”
Hartford Club
57 Registrants

Education Luncheon
November 10, 2017
“Private Wealth (Social Security)”
ON20
39 Registrants

½ Day Conference
“ERM From Risk to StrategY” (UCONN)
March 20, 2018
Hartford Club
75 Registrants

Education Luncheon
“First Tax Cuts, Now Tariffs. What’s Next for the US Economy”
April 12, 2018
Max Downtown
17 Registrants

Spring Investment Conference
“Exchange Traded Funds – Tools, Strategies, and Industry Outlooks”
April 25, 2018
Hartford Club
47 Registrants

INVESTOR’S FIRST EVENT

Investor’s First Conference – Putting Investors First
May 17, 2018
Hartford Club
39 Registrants

RECOGNITION, & CANDIDATE EVENTS

Research Challenge 2018 Kick-Off Event
November 1, 2017
Hartford Club
67 Registrants

Charter Holder Ceremony & Luncheon
November 16, 2017
Speaker: Tom Collimore, CFA, Head, Institutional Partnerships, CFA Institute
48 Registrations

Research Challenge 2018
February 21, 2018
UCONN Graduate Center
32 Registrants

Live Mock Exam
June 2, 2018
Elmwood Community Center
56 Registrants

UNIVERSITY OUTREACH

UCONN Careers in Finance
UCONN Graduate Business Center in Hartford- Career

Central Connecticut State University Careers in Investments
In April 2014, the CFA Society Hartford Board and volunteers completed a comprehensive strategy planning session. The goal was to review the mission statement and objectives of the Society, and to develop a three-year strategic plan. The result was a comprehensive set of goals to guide the Board and serve members. The following were determined as priority objectives:

Enhance the existing program structure to increase member satisfaction. We believe we can increase attendance at our programs by identifying segments of membership and targeting events to serve each segment. The monthly speaker series program will be given more structure and thoughtful preparation with the goal to attract new attendees. We will try and establish a speaker bank to improve access to quality programming.

Enhance the Society’s governance by leveraging the experience and skills of our members. Develop an Advisory Council to provide guidance for strategic issues. This committee will be comprised of Past Presidents and other leaders in our community that can add value to the operations and help advocate for the Society in our region.

Improve our Volunteer Management Program. Bring greater definition to the roles and responsibilities available to volunteers. There is a broad array of “jobs” available for all levels of volunteer commitment. We will try to improve matching those openings with willing volunteers, communicating outstanding positions, recognizing a job well done, maintaining a single source of contact information, and improving guidance when needed. We will work on creating a Volunteer Manual.

The Board welcomes new volunteers willing to share their skills for a single event or for ongoing support. There are many skilled and experienced members in the CFA Society Hartford; we encourage you to become a volunteer and share your skills. The Board plans to hold another comprehensive strategic planning session in 2016.
### Active Society Members

5-Year CAGR (Compound Annual Growth Rate): 0.0%

<table>
<thead>
<tr>
<th>Year</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>2016</th>
<th>2017</th>
<th>2018</th>
<th>YTD</th>
<th>YTD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>710</td>
<td>712</td>
<td>718</td>
<td>709</td>
<td>699</td>
<td>709</td>
<td>516</td>
<td>468</td>
</tr>
</tbody>
</table>

### Member Average Age

<table>
<thead>
<tr>
<th>Year</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>2016</th>
<th>2017</th>
<th>2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>46.9</td>
<td>47.3</td>
<td>48.0</td>
<td>48.0</td>
<td>46.6</td>
<td>47.2</td>
</tr>
</tbody>
</table>

### Gender

- **Male**
  - 2013: 81.0%
  - 2014: 81.0%
  - 2015: 80.9%
  - 2016: 81.4%
  - 2017: 82.2%
  - 2018: 81.8%

- **Female**
  - 2013: 19.0%
  - 2014: 19.0%
  - 2015: 19.1%
  - 2016: 18.6%
  - 2017: 17.8%
  - 2018: 18.2%

### Member Job Functions

- Portfolio Manager
- Research Analyst
- Chief-Level Executive
- Other
- Risk Manager
- Consultant
- Corporate Financial Analyst
- Financial Advisor
- Manager of Managers
- Strategist
- Relationship Manager
- Accountant/Auditor
- Trader
- Investment Banking Analyst

### Primary Investment Practice

- Fixed Income
- Equities
- Not Applicable
- Other
- Real Estate
- Private Equity
- Structured Products
- Derivatives
- Hedge Funds
- Commodities
- Indexed
- Venture Capital