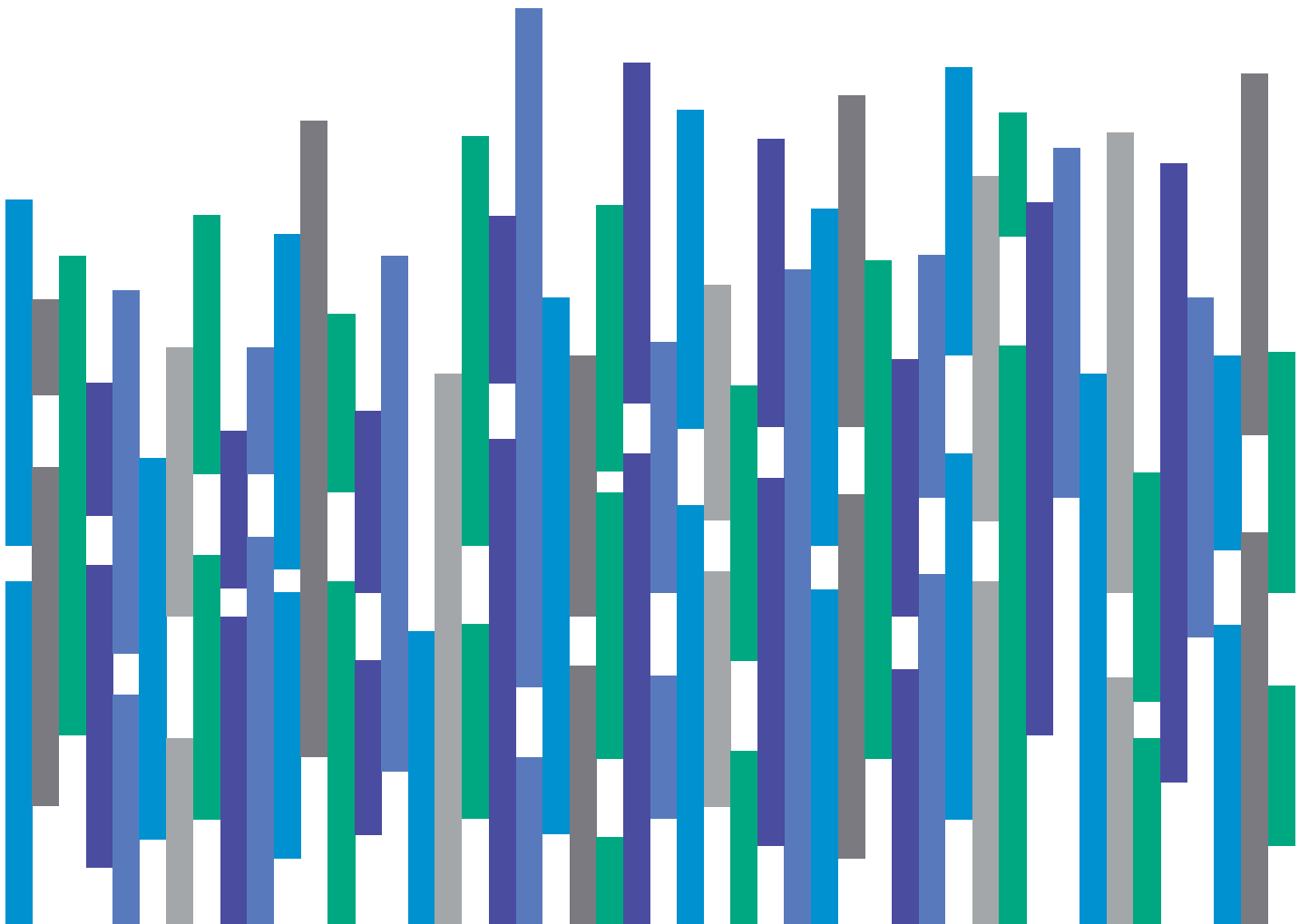




CFA Society  
New Mexico

# SOCIETY FACT SHEET

2019 - 2020



## OVERVIEW

CFA Society New Mexico was founded on May 23, 1998, as a member organization of CFA Institute. The society's mission is to lead the investment profession in the State of New Mexico by promoting the highest standards of ethics, education, and professional excellence for the ultimate benefit of society.

The society seeks to fulfill its mission by:

- To develop future professionals through assisting CFA exam candidates and college students to pursue credentialing and careers in investment management and analysis.
- To deliver member value that accelerates the professional success of our members.
- To build market integrity that benefits investors and our members that serve them.

CFA Institute is the premier global association for investment management professionals, with over 169,000 members in 165 countries & regions, through 157 societies.

- CFA Society New Mexico has over 100 members, 92% are charterholders.
- Approximately 49% manage private assets, and 47% manage institutional assets.
- Members' occupations include: portfolio managers, chief-level executives, research analysts, financial advisors, consultants, relationship managers, strategist, traders, and more. (Graph 1)
- The top five employers of members and candidates in the area include: Thornburg Investment Management, Inc., Avalon Trust Company, RBC, New Mexico State Investment Board, and Bank of America Merrill Lynch.

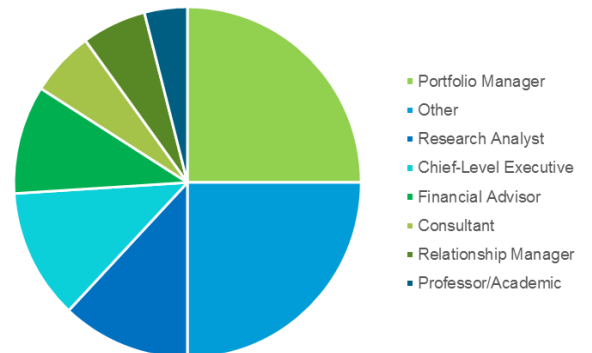
## MEMBERSHIP BENEFITS

As a member of a truly global organization, we provide such benefits as:

- Local professional development opportunities including discounts to dynamic educational programs.
- Becoming a part of an effectual and common voice to develop and protect the interests of the profession.
- Staying abreast of trends that affect the industry and our market.
- Access to CFA Society New Mexico and CFA Institute resources such as Career Center, finance journals, magazines, and other content our organizations publish.

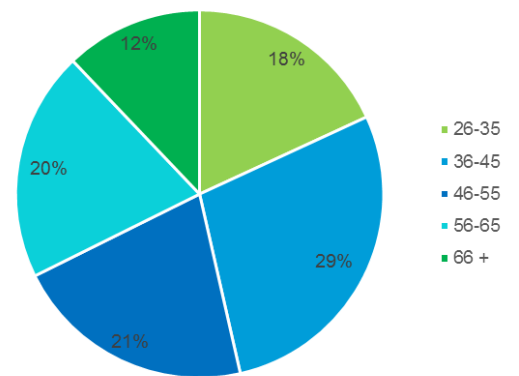
### Member Occupations

Graph 1



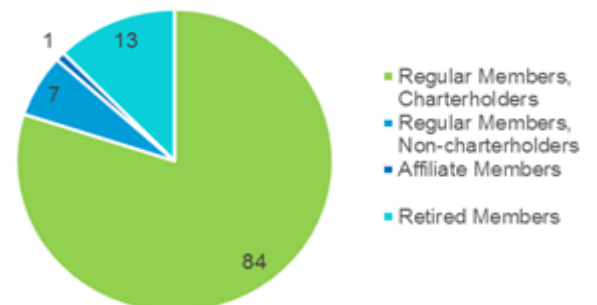
### Member's Age

Graph 2



### Membership Types

Graph 3



## CFA PROGRAM

The Chartered Financial Analyst® (CFA) credential is held by over 150,000 professionals around the world. The charter gives a strong understanding of advanced investment analysis and real-world portfolio management skills. The CFA Program is a self-study, graduate-level program divided into three levels of exams.

- Three sequential exams cover ethics, investment tools, asset valuation, portfolio management and wealth planning.
- Candidates must complete four years of work experience as an investment practitioner and agree to adhere to a strict code of ethics.
- The June 2019 exam had 250,000 registrations for the CFA Program, with the Level I Pass rate being 41%, Level II at 44% and Level III at 56%.
- CFA Society New Mexico had 55 local candidates take one of the exam levels in fiscal year 2019.

## PROGRAMS & EVENTS

Regular monthly programming is held from September to May, alternating between locations in Albuquerque and Santa Fe. The society has two large events yearly:

- 21st Annual Forecast Dinner will be held on February 6, 2020 in Albuquerque. This annual event brings together 180+ local investment professionals for an evening of networking.
- 4th Women in Investments event will be held in May 2020. Last year this event attracted 80+ local professionals for an afternoon of networking and education about diversity in the investment industry.

## JOIN CFA SOCIETY NEW MEXICO

Regular & Affiliate Society Membership Dues: \$55

Retired Society Membership Dues: \$45

Members 70+ Dues: Free

CFA Institute Membership: \$275

For full information please visit our [membership webpage](#), or to apply for membership, visit [CFA Institute's website](#).

## LEADERSHIP

### OFFICERS

President – JJ Milder  
[president@newmexico.cfasociety.org](mailto:president@newmexico.cfasociety.org)

Vice President – Sonny Gokhale, CFA  
[vicepresident@newmexico.cfasociety.org](mailto:vicepresident@newmexico.cfasociety.org)

Treasurer – Andrew Hockey, CFA  
[treasurer@newmexico.cfasociety.org](mailto:treasurer@newmexico.cfasociety.org)

Secretary – Di Zhou, CFA  
[secretary@newmexico.cfasociety.org](mailto:secretary@newmexico.cfasociety.org)

Membership Chair – Regina Gaysina  
[membership@newmexico.cfasociety.org](mailto:membership@newmexico.cfasociety.org)

Media & Technology Chair – Midhat Quadri, CFA  
[technology@newmexico.cfasociety.org](mailto:technology@newmexico.cfasociety.org)

### COMMITTEE CHAIRS

Advocacy & Scholarship Co-Chairs –  
Regina Gaysina & Jake Tolk, CFA, CRPC  
[advocacy@newmexico.cfasociety.org](mailto:advocacy@newmexico.cfasociety.org)

Programs Chair – Ariel Brunn  
[programs@newmexico.cfasociety.org](mailto:programs@newmexico.cfasociety.org)

Sponsorships Chair – Sonny Gokhale, CFA  
[vicepresident@newmexico.cfasociety.org](mailto:vicepresident@newmexico.cfasociety.org)

University Relations Chair – Reilly White, PhD  
[universityrelations@newmexico.cfasociety.org](mailto:universityrelations@newmexico.cfasociety.org)

Women's Advisory Committee Chair – Regina Gaysina  
[womensadvisory@newmexico.cfasociety.org](mailto:womensadvisory@newmexico.cfasociety.org)

### EX OFFICIO DIRECTOR

Immediate Past President – Chris Daniel, CFA

## VOLUNTEER OPPORTUNITIES

Get even more out of your society membership by volunteering to serve on a society committee, running for an officer position, or serving on the board. For more information on events and opportunities available within New Mexico, please visit our web site at [www.cfasociety.org/newmexico](http://www.cfasociety.org/newmexico).

## FIND US AT:



Website: [www.cfasociety.org/newmexico/](http://www.cfasociety.org/newmexico/)



Email: [info@newmexico.cfasociety.org](mailto:info@newmexico.cfasociety.org)



Facebook: [@CFASocietyNM](https://www.facebook.com/CFASocietyNM)



LinkedIn: [@cfa-society-nm](https://www.linkedin.com/company/cfa-society-nm)