Statement of Investor Rights

The “Statement of Investor Rights” was developed by CFA Institute to advise buyers of financial service products of the conduct they are entitled to expect from financial service providers. Demanding that financial professionals abide by these rights helps you build trust in the person and/or firm you engage with, and thereby collectively restore trust, respect, and integrity in finance.

When engaging the services of financial professionals and organizations, I have the right to...

An explanation of all fees and costs charged to me, and information showing these expenses to be fair and reasonable;

You have the right to fully understand the expenses you are paying for investment services and products. This is true even if your financial product is as simple as a checking account. Financial professionals should be able to:

- Show that the fees you are paying are based on the same fee schedule as for all other clients who are similar to you
- Show that you are not paying more than other clients with accounts of similar size
- Share information about the fees and costs charged by competitors offering similar products, if such information is publicly available