



Student Membership Application

CFAP membership provides the knowledge, recognition, and industry network that you need to position yourself for career growth. Every CFAP member service is designed to meet the specific needs of investment professionals. From luncheons and educational workshops, Professional Conduct and Advocacy programs, to the job board and the CFAP website, membership in the CFAP offers access to valuable services and networking on a local level

Application Instructions:

- 1) Complete the CFAP Student Member Application below.
- 2) You will be required to take the CFA Institute Self-Administered Standards of Practice Examination (Ethics Exam) online at <https://www.cfainstitute.org/centre/codes/ethics/self-exam.html>.
- 3) Submit the completed CFAP Student Membership Application, **two (2) letters of reference with contact information**, along with your dues payment to CFAP.

Personal Information

First Name	M.I.	Last Name
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Street Address

City	State	Zip
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Phone	Email
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School	Year
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Undergraduate / Graduate (circle one)

Major / Degree	GPA
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Sponsor Information

Each sponsor is required to submit a one-page recommendation along with contact information.

Sponsor One Name	Relationship
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Sponsor Two Name	Relationship
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Dues

CFAP dues are billed and collected on an annual basis from July 1 – June 30. The dues for Student Members are \$50 annually. Please mail a check made payable to *CFA Society of Philadelphia*.



Professional Conduct Statement

Answer the following five questions by circling either yes or no at the end of each question. Note that any matter described in the following questions must be disclosed, even if the matter is still pending.

In the last two years, have you been:

- A. The subject of, a defendant in, or a respondent in any investigation, civil litigation, arbitration, or other action or proceeding in which your professional conduct, in either a direct or supervisory capacity, was at issue? **Yes / No**
- B. The subject of a written complaint regarding your professional conduct in either a direct or supervisory capacity? **Yes / No**
- C. Permanently or temporarily prevented from: (i) acting as a person required to be registered under any law or regulation (i.e., investment advisor, broker, dealer, etc.); (ii) acting as an affiliated person or employee of any entity required to be registered under any law or regulation (i.e., investment company, bank, etc.); or (iii) trading on any securities or contract market? **Yes / No**
- D. Found to have aided, abetted, counseled, commanded, induced, or procured the violation by any person or entity of any securities or commodities-related law or regulation or any rule promulgated hereunder? **Yes / No**
- E. Convicted of (i) any felony or other crime punishable by more than one year in prison, or (ii) a misdemeanor involving moral turpitude (i.e., lying, cheating, stealing, or other dishonest conduct) or any substantially equivalent crime in any court of law? **Yes / No**

If you answered yes to any of the above, please explain in a separate letter.

Member Agreement

I have read, understand, and agree to comply with the CFA Society of Philadelphia (CFAP) Articles of Incorporation, Bylaws, CFA Institute Code of Ethics, Standards of Professional Conduct, Rules of Procedure and Proceedings Related to Professional Conduct, and other rules and regulations established by the CFA Institute, as amended from time to time. I understand that I will be subject to suspension or revocation of CFAP membership for violation of the Rules and Regulations of the CFA Institute.

I represent that my response to the Professional Conduct Statement and Member Agreement, and all information provided by me herein is truthful and complete, and I agree to notify CFAP of any material changes to my response to the foregoing statements.

Signature Date

Print Name

You can submit your completed application package in one of three ways:

Email: info@cfaphil.org

Fax: (215) 557-0180

Address: CFA Society of Philadelphia
100 North 20th Street, Suite 400
Philadelphia, PA 19103-1462