UPCOMING EVENTS

Oct 17  New Charterholder Award Ceremony at Farmer & the Seahorse

Oct 23  "Fixed Income in an Uncertain World" Luncheon featuring Michael Kushma of Morgan Stanley at the Marriott Del Mar

Nov 12  Wealth Management Symposium featuring Katherine Roy and David Lebovitz of JP Morgan at the Grand Del Mar

Dec 11  Luncheon featuring Campbell Harvey of Research Affiliates at the Marriott Del Mar

Feb 25  Research Challenge Local Finals at the University of San Diego

Feb 27  Forecast Dinner featuring Tony Dwyer of Canaccord Genuity and Thomas Lee, CFA of Fundstrat at the Prado in Balboa Park
CFA Society San Diego was founded in 1962 as the Financial Analysts Society of San Diego, Inc. to promote a high standard of ethics and sound professional standards of investment management and research, and to enhance professional knowledge and skills. We are one of the nearly 150 autonomous societies that span the globe and comprise the membership arm of the prestigious CFA Institute, issuer of the Chartered Financial Analyst designation. There are over 500 members of CFA Society San Diego, including security analysts, portfolio managers, bankers, and other investment professionals. Over 475 CFA Society San Diego members hold the Chartered Financial Analyst designation, and many others are currently candidates for this professional designation.

The mission of CFA Society San Diego is to serve the needs of professionals in the investment decision making process by providing a forum for the exchange of information and ideas among its members, by enhancing members’ investment knowledge, and by encouraging high ethical standards and professional conduct among all participants in the investment industry.

CFA Society San Diego would like to extend a special thank you to our corporate sponsor who helped make this event possible.

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Loop Capital

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Metis Global Partners believes in the consistent application of valuation-driven decisions, free of behavioral biases, and grounded in the unique drivers of 60+ global industries. All strategies managed by Metis combine the best of both worlds: a fundamental, long-term value approach deployed in a systematic bias-averse process.

WHO WE ARE

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**AGENDA**

8:00  Check-in Opens

8:30  Welcome and Opening Remarks

- **VANESSA WIELICZKO**, CFA, CAIA, CFP®, Director of Investments, HoyleCohen & President, CFA Society San Diego
- **MACHEL ALLEN**, CFA, President & CIO, Metis Global Partners, & Past President, CFA Society San Diego

8:40  Diversity in Investments: Where Are We Today?

- **AMANDA PULLINGER**, Chief Executive Officer, 100 Women in Finance
- **LAUREN FOSTER**, Co-Lead, Women in Investment Management CFA Institute
- **ORIM GRAVES**, Executive Director, NASP and Advisory Board, CFA Institute

9:45  The Impact of Organizational Diversity: Decision Making, Profitability, and Talent Pools

- **BIRGIT BOYKIN**, Director, Inclusion S: Diversity, Blackrock
- **DEANNE STEELE**, CFA, CAIA, Managing Director, Bank of America Private Bank

Moderated by: **MACHEL ALLEN**, CFA

10:30  Hosted Refreshment Break

10:45  Diversity Within Investment Decision Making

- **WENDY WALKER**, CFA, Chief Investment Officer, CA Capital Management
- **CERRISI CEDERQVIST**, Vice President– Emerging Managers, Prudential
- **DARYN DODSON**, Managing Director, Illumen Capital
- **JASON LAMIN**, Founder & CEO, Lenox Park Solutions

Moderated by: **MACHEL ALLEN**, CFA

11:45  Break & Lunch buffet opens for attendees

12:15  Facilitated Roundtable Discussions

Tables will be designated for facilitated discussions relating to the interests of various industry participants. Choose from one of 14 topics to explore.

1:15  Thank You and Closing Remarks

- **MACHEL ALLEN**, CFA, President & CIO, Metis Global Partners, & Past President, CFA Society San Diego
- **STACY LOVE**, CAE, SPHR®, PHRCA®, Exec. Director, CFA Society San Diego

1:30  Employer Workshop (optional)

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**ROUNDTABLE FACILITATORS**

**VANESSA WIELICZKO**, CFA, CAIA, CFP®, Director of Investments, HoyleCohen

Vanessa leads the firm’s Investment Committee, helping to define future growth and development of investment management strategies at the firm. Under Vanessa’s leadership, the firm has developed a robust investment platform that offers both traditional investment approaches along with a host of alternative strategies designed to offer substantial but secure income, better downside protection and enhanced opportunity for growth.

Driven in pursuit of excellence, Vanessa holds the Chartered Financial Analyst (CFA), Chartered Alternative Investment Analyst (CAIA), and Certified Financial Planner™ (CFP®) designations. She has a Bachelor’s Degree in Business Management from Boston University’s School of Management with a concentration in International Finance. Vanessa is active in the community, serving as President of the CFA Society San Diego, a group of nearly 500 financial professionals. A Virginia native, Vanessa has lived in Boston, London, and New York, and has settled down in San Diego’s Marina District Downtown. Vanessa goes above and beyond, having achieved success professionally and in serving as a mentor, wonderful wife and mother to two young boys.

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**Save the Date**

**FORECAST DINNER**

**February 27 | 3:30-8:00pm | Prado**

**Featuring**

- **Tony Dwyer**, Canaccord Genuity
- **Thomas Lee**, CFA, Fundstrat
- **Jane Wells**, CNBC
CONTINUE THE DIALOGUE

A Diversity & Inclusion conference is just the beginning! Visit our website for presentations, whitepapers, leading research, and other resources to help you and your organization.

CFA.IS/DIVERSITY
EDWARD ROZELL, III, MANAGING DIRECTOR / CO-HEAD OF THE EQUITY DIVISION, LOOP CAPITAL

Edward Rozell III is Managing Director and Co-Head of the Global Equity Division. Mr. Rozell is responsible for leading Loop Capital's equity research, sales and trading functions. Prior to joining Loop, Mr. Rozell was Senior Vice President of Equity Sales at M.R. Beal & Company where he was responsible for new business development, marketing, and client service for institutional money managers, hedge funds, public and corporate pension plans nationally. He started his career at William Blair & Company within the Private Investment Group as a portfolio associate where he developed extensive experience in retail and institutional portfolio management, research, marketing, and trade execution.

Mr. Rozell holds a B.B.A. in Economics and Marketing from the University of Iowa and a Program Committee member for The National Association of Securities Professionals (NASP) Chicago Chapter. He holds 7, 24 and 63 licenses.

SARA PICCOLLO, CPCC, VICE PRESIDENT, PIMCO

Ms. Piccollo is a vice president and a member of PIMCO's executive office responsible for implementation of the firm's Inclusion, Diversity and Culture (IDC) initiatives. Previously, Ms. Piccollo was co-head of talent and organizational development at PIMCO, responsible for overseeing professional, technical, management and leadership development programs in the Americas and Asia-Pacific. Prior to joining PIMCO in 2007, she was a human resources benefits consultant for Aon (formerly Hewitt & Associates), where she founded and led the corporate community involvement program across Hewitt's Canadian offices. She holds a bachelor of arts degree in psychology and sociology from McGill University and is a certified professional coach.

AMANDA PULLINGER, CEO, 100 WOMEN IN FINANCE

Ms. Pullinger is the Chief Executive Officer of 100 Women in Finance (previously 100 Women in Hedge Funds). She leads a small staff team and manages over 500 volunteer practitioners globally, overseeing the operations of the organization, which now has over 15,000 members in 23 locations.

Ms. Pullinger is a former principal of Aquamarine Capital Management, where she was responsible, over a period of seven years, for managing marketing, investor relations and back office administration for two private investment funds.

Ms. Pullinger is currently on the Boards of the HALO Trust (USA), the American Friends of The National Portrait Gallery (London) Foundation and serves as a Director on the Oxford University Alumni Board. She is also Vice Chair of the Women's Network Forum and Vice President of The Brasenose Society. Previously, she served as Chairman of the Board of The HALO Trust (www.halotrust.org) and on the Boards of SkillForce, NYU Cancer Institute and Girls' Prep, and was on the founding Board of 100 Women in Finance, serving as its President for two years. She is a member of the British Academy of Film and Television Arts (BAFTA) and a Fellow of the Royal Society of Arts.

Ms. Pullinger graduated from Brasenose College, Oxford University in 1987 with an Honours Degree in Modern History. She earned an MBA from La Salle University, Philadelphia, in 1998, and received the Academic Award for MBA student of the year as well as the Beta Gamma Sigma designation.

MACHEL ALLEN, CFA, PRESIDENT/CIO, METIS GLOBAL PARTNERS

Machel is the President and Chief Investment Officer at Metis Global Partners. She leads the firm's business strategy efforts as well as the investment team responsible for researching and managing the firm's portfolio strategies.

Machel's investment career spans over 26 years, including ten years with Brandes Investment Partners where she led the quantitative investment team that designed and implemented the investment process used by Metis today. Prior experience includes Lord Abbett, as an Equity Analyst to international and domestic portfolios, as well as institutional investment consulting roles at LCG Associates and Watson Wyatt.

Machel earned an MBA in investments from the Robinson Business School at Georgia State University (GSU) as well as a BBA in finance from GSU. She is a Past President and Board Director of the CFA Society of San Diego and is a member of the Chicago Quantitative Alliance (CQA). Machel is an Advisory Board Member of The Brandes Institute.
BIRGIT BOYKIN, DIRECTOR, INCLUSION & DIVERSITY AT BLACKROCK

Birgit Boykin, Director, leads Global Inclusion and Diversity for the firm and is a member of the San Francisco Leadership Team.

Prior to joining BlackRock, Birgit led Global Partner Affairs for Booz & Company (renamed Strategy& when acquired by PwC) and was responsible for the strategy and execution of performance and talent management, compensation, incentive design and broader partner value proposition for the firm’s top 300 leaders. As a member of Global People Strategy team, Birgit regularly reported to the firm’s Executive Committee and Board of Directors she was appointed to support the firm’s Compensation Committee. During the post-merger integration of Booz & Company into PwC, Birgit led the design of the global partner evaluation approach and subsequent translation into the local territory compensation systems for the entire Strategy Consulting business. She was a member of the global Strategy Consulting Leadership Team. Earlier in her career at Booz & Company, she led a number client-facing strategy consulting projects.

Prior to joining Booz & Company in 2004, Birgit worked in Public Relations for Publicis Dialog in New York for three years and EXXON Corporation in Germany and Switzerland for 10 years in a number of Sales, Marketing and Business Development roles.

She has a BA with Honors in Business Economics from Wirtschaftsakademie in Hamburg, Germany and an MBA with Honors in Finance and Public Policy from The Wharton School in Philadelphia, Pennsylvania.

Birgit grew up in Bremen in Northern Germany and now lives outside San Francisco (SF) in Alamo with her husband, J.P., and their three children – Baron, Bastian and Mila.

DARYN DODSON, MANAGING DIRECTOR, ILLUMEN CAPITAL

Daryn is a passionate advocate of social and economic justice, especially for disadvantaged and marginalized groups. His work with impact investors, private equity funds, Fortune 100 companies, universities and foundations has been viewed through the lens of addressing the world’s most pressing social and environmental problems.

Daryn previously led the Special Equities Program as a consultant to the Board of the Calvert Funds, a $12 billion pioneer of the impact investing field. Through this vehicle, Calvert maintains a portfolio of more than 40 funds on five continents, representing over 350 underlying portfolio companies.

Prior to serving as a consultant to Calvert, Daryn served as Director of University and Corporate Partnership for The Idea Village, where he created a platform engaging leading private equity firms, business schools, and Fortune 500 companies to invest over 100,000 hours and $2 million into more than 1,000 New Orleans entrepreneurs post-Hurricane Katrina.

Mr. Dodson currently serves on the Board of Directors for Ben and Jerry’s. He earned his M.B.A. from Stanford, where he serves on the Dean’s Management Board, and his A.B. from Duke University.
SPEAKER BIOGRAPHIES

JASON LAMIN, FOUNDER & CEO, LENOX PARK SOLUTIONS, INC.

Jason is Founder & CEO of Lenox Park, a FinTech company that provides cloud-based software platforms and data aggregation tools for the Asset Management industry. The firm’s mission is to remove barriers and inefficiencies realting to capital access; and in so doing, preserve as much capital belonging to pensioners, university endowments and philanthropic organizations. Before founding Lenox Park, Jason worked in Merrill Lynch’s Structured Credit Derivatives group in New York. In that role, Jason was instrumental in performing due diligence on Asset Managers for a highly selective Investor base. He began his career at Merrill Lynch in the firm’s Investment Banking division, working on M&A transactions for Financial Institutions. Jason, then helped launch a start-up group in Merrill Lynch’s London office, focused on deepening the firm’s most lucrative institutional client relationships across Europe, Middle-East & Africa.

Jason graduated from the University of Texas at Austin with a B.A. in Economics. At the University of Texas, he was a proud member of the Texas Cowboys. Jason remains active at his alma mater, where he serves on Boards for the Department of Economics and the Department of Black Studies. He was honored to deliver the College of Liberal Arts commencement address for 2017 University of Texas graduates, where he spoke about the importance of diversity and inclusion.

Jason is an active member of the United Nations Private Sector Forum, the the World Policy Institute, Carnegie Council for Ethics in International Affairs and an active volunteer in his community in New York.

In 2008, Jason founded Nyawa Funding Group, a 501(c)(3) not-for-profit organization with a mission to improve living standards in Sierra Leone.

WENDY WALKER, CFA, CHIEF INVESTMENT OFFICER, CA CAPITAL MANAGEMENT

Wendy Walker, CFA, is a Managing Director and a Chief Investment Officer in CA Capital Management, Cambridge Associates’ outsourced investment office business. Wendy works with endowment & foundation clients and is a member of the firm’s Mission-Related Investing Council. Prior to joining Cambridge Associates, Wendy worked on the investment teams at Imprint Capital Advisors, focusing on socially responsible and environmental-themed fund managers, and at Parnassus Investments, integrating environmental, social and governance factors into industry and company-specific research. She is a former vice chair of the Sustainable Investing Committee of the New York Society of Security Analysts.

Wendy graduated cum laude from Yale University and earned an MBA (with Honors) from the Haas School of Business, UC Berkeley. She serves on the Advisory Committee to the Haas Sustainable Investment Fund.

LAUREN FOSTER, WOMEN IN INVESTMENT MANAGEMENT, CFA INSTITUTE

Lauren Foster is Director, CPD Content Americas and co-lead of CFA Institute’s Women in Investment Management initiative. Previously, she worked as a freelance writer for Barron’s and the Financial Times. Prior to her freelance work, Lauren spent nearly a decade on staff at the FT as a reporter and editor based in the New York bureau. Lauren holds a BA in political science from the University of Cape Town, and an MS in journalism from Columbia University.

ORIM GRAVES, CFA, EXECUTIVE DIRECTOR, NASP

Orim Graves, CFA is Executive Director of the National Association of Securities Professionals (NASP), a trade association established in 1985 for diverse professionals in the financial services industry headquartered in Washington, D.C. Over 150 companies, including small and emerging firms in all aspects of the financial services industry, are represented in NASP’s membership across the U.S. including eleven chapters in major financial centers.

Prior to joining NASP, Mr. Graves was a partner at an investment firm and was responsible for the firm’s performance evaluation, reporting and risk analysis and was a member of the Investment Committee. Mr. Graves also has held positions as a senior member of a leading investment consulting firm, where he provided investment counsel to a number of institutional clients across the country. He specialized in introducing new alternative strategies and innovative managers to the firm’s client base.

Prior to working as a consultant, Mr. Graves served as Chief Investment Officer for the City of Philadelphia Board of Pensions and Retirement where he provided expertise in investment performance attribution and evaluation, as well as asset allocation strategies specializing in non-traditional asset categories. In addition to his consulting and plan sponsor experience, Mr. Graves previously served as an analyst in the gaming industry and as a fixed income trader for a major multi-national bank.

Mr. Graves serves on the board of Dillard University, a historically black university in New Orleans, LA, as well as a number of Boards in the financial and tech/green spaces. Mr. Graves earned his Bachelor of Science in Finance from Hampton University and an MBA in Finance from the University of Wisconsin. He is a CFA charter holder.
SPEAKER BIOGRAPHIES

DEANNE STEELE, CFA, MANAGING DIRECTOR, BANK OF AMERICA PRIVATE BANK

DeAnne Steele is Managing Director and Private Client and Institutional Advisor at Bank of America Private Bank. She brings years of wealth management and leadership experience to her role with expertise in the many strategies and services within our firm to help drive impact for her clients and community. She welcomes any opportunity to share her experience and knowledge and is a frequent speaker on topics such as the global economy, financial markets, ESG (Environmental, Social and Governance), Women and Wealth and Diversity and Inclusion. She is the proud recipient of Bank of America's Global and Diversity and Inclusion Award, a testament of her commitment to creating a world that harnesses the power of diversity by creating an inclusive environment in which all are empowered to share ideas. She is a founding executive sponsor of Bank of America’s women’s affinity group in San Diego and enjoys mentoring those building their careers in our company.

DeAnne and her team are responsible for providing families, executives, entrepreneurs and non-profit organizations with wealth management strategies customized to help meet their unique goals. Through this advice she helps them create the financial future they want for their families and communities. She works with her clients’ trusted advisors such as attorneys and accountants to provide integrated strategies including investment management, generational financial education to support responsible stewardship of family wealth, customized credit and lending designed to help clients maximize their balance sheets, tax planning, wealth structuring, trust and estate planning services, cash flow management, philanthropic planning, and other private banking services.

Prior to joining Bank of America Private Bank, DeAnne co-managed a mutual fund in addition to serving in various leadership and investment positions. She has written, co-authored and contributed to articles for various publications and has appeared on Reuters and Bloomberg Television.

DeAnne earned her Masters Degree in Business Administration, Finance, from UCLA Anderson School and a Bachelor of Arts, English and Political Science from UCLA. She has received the Chartered Financial Analyst® (CFA®) and Chartered Alternative Investment Analyst designations and is a member of the CFA Institute.

CHERRISE CEDERQVIST, VP, EMERGING MANAGERS, PRUDENTIAL

Cherrise Cederqvist joined Prudential in 2013 to oversee the Emerging Manager Investment Program. Prior to joining Prudential, Ms. Cederqvist was a Managing Director at Principal Global Investors where she was head of Business Strategy, encompassing Product Development and Acquisitions. Prior, she worked in various institutional sales and marketing roles at Credit Suisse Asset Management, Oppenheimer Capital and UBS Asset Management.

Ms. Cederqvist has a B.A. from University of Rhode Island in Psychology. She received her MBA from Columbia University.