

NEWSLETTER

FALL 2016

PRESIDENT'S WELCOME



Dear Fellow Members,

On behalf of our Board of Trustees, dedicated staff, and generous volunteers, I wanted devote some time focused on you: the members of CFA Society Seattle. You are the entire reason for our existence. It is your interests that we serve with each decision we make. We dedicate 100% of our time and energy to providing value to you, both this coming year and over a long time horizon. Every year you have a choice of whether or not to renew your membership with us. We thank you for the continued confidence you place in us each time you do so.

The society leadership devoted a significant amount of time over the last year to redefine

our mission and vision in context of our strategic objectives.

Our mission is to advance our members' investment knowledge and careers by providing educational and networking opportunities, while promoting the CFA charter and investment ethics in the Puget Sound region.

Our vision is to establish a *trusted* community of knowledgeable investment experts in the Puget Sound region.

Using these statements to guide us, we have developed a three-year plan to transform our board from an operational board to a strategic board. We want to pull the board members out of the day-to-day operations and have them focus on strategic planning and decision making.

Why does this matter, you may ask? This pivot is an important step because a strategic board is much better positioned to ensure that the interests of the members are served over a long time horizon. Our environment is rapidly changing and our ability to meet the future needs of our members will depend on how far out we can plan and how much energy we can focus on those plans.

While planning for tomorrow, we can't neglect our responsibility to deliver value to you, today. We have over a dozen committees that fall into three broad categories—educational,

networking, and general committees. Our First Vice President, **Matt Griffith**, **CFA**, is leading our efforts with the Networking Committees. **Thomas Garrett**, **CFA**, our Second Vice President, is heading up our educational effort through the Programming Committee. All other committees, including Membership, University Relations, and Employer Outreach work more directly with other board members.

We are aiming to provide a board range of activities, all with the same key objectives in mind: raise the profile of the CFA charter in our local market, grow our membership base and market penetration in the local investment industry, and increase our member participation.

Alignment between the society's activities and the members' interests is critical in delivering better member value. If you have any suggestions for how we can provide better value, please reach out to our Volunteer Recruiting Chair, CJ Hall, CFA, and help make it happen.

I hope to see you at our **New Charter Ceremony and Holiday Party** on December 15th and our annual **Economic Forecast Dinner** on January 19th.

Best regards,

Dylan Kelly, CFA President | CFA Society Seattle

SEATTLE JOB BOARD

The CFA Society Seattle Job Board connects talented financial professionals with employers throughout the Pacific Northwest.

The job board remains **FREE** to job seekers and employers. CFA member companies pay only \$250 for a 30-day posting. Non-CFA member companies pay \$350.

Job seekers and employers can click here to learn more and get connected.



2017 MEDIA BOOK

As an exclusive privilege to the CFA Society Seattle members, we provide this free media platform to share your industry

insight and expertise with members of the press. The CFA Society Seattle media book helps local and national journalists and media entities in identifying qualified practitioners for their views and commentary on their area of expertise.

Scheduled for electronic distribution to coincide with the 2017 Annual Economic Forecast Dinner, the deadline for submitting your profile information is Thursday, December 1, 2016.

To secure your presence in the 2017 edition, please **complete this form.**

5TH ANNUAL ALTERNATIVES INVESTMENT SUMMIT



Robert Sinnott
Kayne Anderson Capital Advisors

"Outlook and Opportunities in Energy & Credit Markets"

Please join us at this year's event on Monday, November 14th at the Fairmont Olympic Hotel in downtown Seattle for the 5th Annual Alternative Investments Summit.

Robert Sinnott, President and Co-Chairman of Kayne Anderson Capital Advisors will present the keynote address.

Space is limited and you must pre-register to attend. **NOTE:** All registration and payments are handled exclusively by the <u>SAIA organization</u>.

REGISTER HERE!

\$30 | CFA Society Seattle Members \$100 | General Public

The Fairmont Olympic Hotel
411 University Street
Seattle, WA

4:30 pm - 6:30 pm Networking **6:30 pm - 8:00 pm** Presentation

ABOUT MR. SINNOTT

Robert Sinnott, President and co-chairman of Kayne Anderson Capital Advisors, a \$22 billion asset manager in such areas as energy private equity, energy marketable securities, middle market credit, real estate private equity, growth private equity and event driven strategies. Bob has over 40 years of investment experience and oversees all of the firm's investment teams as well as serving on each strategy's investment committee.





2016 – 2017 CFA SOCIETY SEATTLE LEADERSHIP

President

Dylan Kelly, CFA

First Vice President
Matt Griffith, CFA

Second Vice President

Thomas Garrett, CFA, CAIA

Treasurer

Olga Bystrova, CFA

Secretary

Annika Williams

Trustees

Alan Randell-Chen, CFA Lesa Sroufe, CFA

Past President

Ufuk Ince, Ph.D., CFA



L to R: Annika Williams; Thomas Garrett, CFA, CAIA; Lesa Sroufe, CFA; Dylan Kelly, CFA; Ufuk Ince, Ph.D., CFA; Matt Griffith, CFA; Sherrie Trecker, CFA; Rey Santodomingo, CFA, and Alan Randell-Chen, CFA.

Photo Credit: Kim Barlow | REAL PORTRAITS

CONNECT WITH US!

To receive our weekly email summary of upcoming events on Monday mornings email Pam Cahill, Operations Director at operations@cfaseattle.org.





CFA Society Seattle

206.780.9523 – telephone operations@cfaseattle.org www.cfaseattle.org

JOIN THE SEATTLE BOOK CLUB

Members and candidates in CFA Society Seattle are invited to participate in the Book Club. Whether the topic covers current events, historical works, theory or practicality, all perspectives are welcome, and needed, to help make the discussion a success. The Book Club meets every two months in an informal, relaxed environment with light refreshments provided. Following the conclusion of each meeting, participants will help select the date and book for the next discussion.

Please contact Andy Frey, Chair at andy@cutler.com to learn more.

INTERNSHIP OPPORTUNITIES



Are you interested in CFA Seattle matching your firm with high quality intern prospects from area universities?

Through our university contacts, we can forward you carefully screened, highly motivated intern candidates for investments and/or finance related assignments.

Just let us know the scope of the assignment and we will get back to you with candidates' CVs.

Please email **operations@cfaseattle.org** for additional information.



CFA Society Seattle 2016 Volunteer of the Year SHERRIE TRECKER, CFA

Ben de Fiebre: Sherrie, thanks for taking the time to sit down with me, I'm sure you're very busy. First, let me say congratulations on being recognized as CFA Society Seattle's Volunteer of the Year. I'm going to jump right into it: tell me a bit about yourself and what you did before CFA Society Seattle.

Sherrie Trecker: Community service has always been a passion of mine. I started volunteering in high school, through Key Club, where I eventually held a district-wide leadership role. When I moved to Seattle in 2011 I was looking for new opportunities to volunteer in the community. I sought out multiple opportunities with multiple

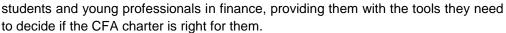


organizations, and CFA Society Seattle was one that stuck! (The other is the Seattle Mountaineers, where I spend countless volunteer hours leading climbs as well as climbing courses.)



de Fiebre: How did you get involved as a volunteer?

Trecker: The Young Professionals Network was looking for people to volunteer to lead events throughout the year and I jumped on the opportunity. The next year, I was the Chair of the Young Professionals. It was a great group because it allowed me to engage with





de Fiebre: What have you found to be the most rewarding part of volunteering this year?

Trecker: As I gained more experience (aka I got older), I felt like I no longer accurately represented a "young professional." CFA Society Seattle is nimble enough that they allowed me to shift my focus to a different demographic: women in finance. In 2016 I was able to harness my passion for improving gender diversity in finance into a half day



conference, co-hosted by CFA Society Seattle and Russell Investments. We plan to hold the event annually, and have also developed a new CFA Society Seattle Women's Network, to support an avenue for CFA Society Seattle members other than myself to have an impact on gender issues.

de Fiebre: How can our other members get involved like you have?

Trecker: CJ Hall, CFA is our volunteer coordinator and he is a great

resource! We are always looking for people to lead events and do behind-the-scenes volunteer work. My biggest piece of advice is to take on a role that might stretch you a little; it is where you will find the most personal growth.

de Fiebre: Sherrie, congrats again and thank you for your time.



SAVE THE DATE

Visit cfaseattle.org to learn more about CFA Society Seattle programs and area events.

Tuesday, October 4, 2016 | 9 PM - 4 PM

Finance Expo 2016 at the World Trade Center | 121 SW Salmon Street Portland, OR 97205
Presented by the CFA Society Portland in partnership with the CFA Institute
No Charge to Attend! REGISTER HERE

Tuesday, October 11, 2016 | 1:00 PM - 2:30 PM

"Pieces to the Puzzling Puzzle" presented by Marvin Loh The Rainier Club 820 4th Ave. Seattle, WA 98104

Thursday, October 20, 2016 | 1:00 PM - 2:30 PM

"Incorporating Market Lending into an Institutional Portfolio Framework" presented by RiverNorth Philip Bartow
The Rainier Club 820 4th Ave. Seattle, WA 98104

October 20 to 21, 2016

CFA Institute Conference: Fixed Income Management 2016 Hyatt Regency - Huntington Beach Resort - California

Tuesday, November 15, 2016 | 1:00 PM - 2:30 PM

"From Deflation to Negative Rates and Back to Inflation Again" presented by David Ranson The Rainier Club 820 4th Ave. Seattle, WA 98104

November 17 to 19, 2016

Western Region CFA Society Conference Las Vegas, Nevada

December 15, 2016

New Charter Ceremony & Holiday Party Grand Hyatt Seattle 721 Pine Street Seattle, WA 98101

Thursday, January 19, 2017 | 5:00 PM - 9:00 PM

2017 Annual Economic Forecast Dinner featuring Amity Schlaes Sheraton Seattle Hotel 1400 6th Avenue Seattle, WA 98101

April 6 to 8, 2017

2017 Americas Regional Research Challenge Grand Hyatt Seattle 721 Pine Street Seattle, WA 98101

PAST EVENTS

AUGUST

August 19, 2016 | 8th Annual Golf Tournament & Social | Interbay Golf Center

SEPTEMBER

September 21, 2016 | CFA Society Seattle Volunteer Recruiting Social | Brave Horse Tavern

September 28, 2016 | "Investing in a Moody World" | The Rainier Club

September 29, 2016 | CFA Women's Network Kickoff Event | The Rainier Club