

## Proxy Form

All forms to be sent via email [agm@cfasingapore.org](mailto:agm@cfasingapore.org) or fax to 6323-7657  
or mail to **10 Anson Road, #25-09 International Plaza, Singapore 079903**  
not later than 6pm 28 May 2019.

### (ONLY REGULAR MEMBERS MAY VOTE)

I, \_\_\_\_\_, CFA ID Number \_\_\_\_\_,  
being a Regular Member of CFA Society Singapore in good standing, will: -

Vote by proxy at the 33<sup>rd</sup> Annual General Meeting of CFA Society Singapore to be held on 29<sup>th</sup> May 2019 at 7:30pm  
or any adjournment thereof, in the following manner:

(please circle your choice)

I cast my vote for the following agenda items.

1. To confirm and adopt the Minutes of the 32<sup>nd</sup> Annual General Meeting convened on 24<sup>th</sup> May 2018.  
([Attachment 1](#))
2. To confirm and adopt the Annual Report and the Audited Financial Statements of the Society for the year ended 31<sup>st</sup> December 2018. ([Attachment 2](#))
3. To approve the following Resolutions: -
  - i. Amendment of Constitution 1  
To delete Article 1, Section 4:  
The fiscal year of the Society shall be 1<sup>st</sup> January to 31<sup>st</sup> December.  
  
To insert Article 1, Section 4:  
The fiscal year of the Society shall be 1<sup>st</sup> July to 30<sup>th</sup> June.
  - ii. Amendment of Constitution 2  
To delete Article III, Section 2:  
An annual general meeting shall be held by 30<sup>th</sup> June.  
  
To insert Article III, Section 2:  
An annual general meeting shall be held by 31<sup>st</sup> December.
  - iii. One-off change of financial reporting period from 1<sup>st</sup> January 2019 to 30<sup>th</sup> June 2020.  
  
*Resulting from the above change of fiscal year ending 31<sup>st</sup> December to 30<sup>th</sup> June, an exceptional eighteen (18) months financial reporting period from 1<sup>st</sup> January 2019 to 30<sup>th</sup> June 2020 is required for the transition. Singapore Registry of Society has given consent to the above one-off reporting period (18 months) subject to the approval of the membership.*

1.	For	Against	Abstain
2.	For	Against	Abstain
3.	Resolutions		
i.	For	Against	Abstain
ii.	For	Against	Abstain
iii.	For	Against	Abstain

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- iv. Six (6) months extension for elected board members.

*As per CFA Society Singapore Constitution ARTICLE IV (Section 2), election to the Board shall be for a term of two (2) years. Resulting from the above change of fiscal year ending 31st December to 30th June, approval for an exceptional six (6) months extension for elected board members during this transition period is sought from the membership.*

- v. Six (6) months extension for office bearers

*Resulting from the above change of fiscal year ending 31st December to 30th June, approval for an exceptional six (6) months extension during this transition period is sought from the membership such that the term of appointment of office bearers (including Treasurer) by the CFA Society Singapore Board will end at the following AGM to be held by 31st December 2020.*

4. To elect up to 9 Board Members from the following 10 nominees for a 2 ½ years term from 2019-2021. ([Profile of nominees in Attachment 3](#)).

**Please note that voting “FOR” for more than 9 Board Members will render Agenda item 4 of this Proxy Form invalid.**

4.1 In accordance to Article IV (Section 2) of the Constitution, the following 9 Regular Members having satisfied the Nominating Committee’s (NC) vetting/due diligence process have been nominated by the NC and approved by the Board.

**For agenda item 4.1, I vote en-bloc:**

**Or individually as follows:**

- i. Tan Lay Hoon, CFA
- ii. Simon Ng Chee Wei, CFA
- iii. Rasik Ahuja, CFA
- iv. Alex Ho Wah Fong, CFA
- v. Jack Wang Ying Yang, CFA
- vi. Kanol Pal, CFA
- vii. Joachim Toh Wen Keong, CFA
- viii. Sean Low Shien Ang, CFA
- ix. Victor Ong, CFA

4.2 Under the CFA Society Singapore’s Constitution Article IV (Section 2), a regular member may stand for an election to the Board either by (a) being nominated by the Nominating Committee, or (b) being sponsored in writing by at least 20% of the total number of Regular Members or 30 Regular Members, whichever is the lesser;

The NC typically only nominates members who satisfies the NC’s due diligence process. But a sponsored member who

iv.	For	Against	Abstain
v.	For	Against	Abstain
4.	Elections		
4.1	<b>FOR</b>	<b>AGAINST</b>	<b>ABSTAIN</b>
i.	For	Against	Abstain
ii.	For	Against	Abstain
iii.	For	Against	Abstain
iv.	For	Against	Abstain
v.	For	Against	Abstain
vi.	For	Against	Abstain
vii.	For	Against	Abstain
viii.	For	Against	Abstain
ix.	For	Against	Abstain

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is standing for election may or may not have satisfied the NC's due diligence process.

In this instance, the CFA Society Singapore has requested for the sponsored member to submit a fit and proper declaration form.

This form required the sponsored member to disclose, among other things, the following:

- A) Whether you have been a director or person concerned in management of a business that has entered into liquidation, or been the subject of a winding up order, or had a receiver appointed in relation to the business, or entered into a composition or scheme or arrangement with your creditor while you have been involved with that business or within one (1) year of that involvement;
- B) Whether you have been the subject of any current or past investigation or disciplinary proceedings, or have been suspended or disbarred or reprimanded or issued any warning, by the Monetary Authority of Singapore or any other regulatory authority, exchange, professional body or government agency, whether in Singapore or elsewhere?

The sponsored member has answered "yes" to each of these questions, and has provided further information relating to each of those questions [[Attachment 4](#)].

CFA Society Singapore has not done any independent verification on the sponsored member's disclosure.

The following 1 regular member have been sponsored in writing by at least 30 regular members in accordance with Article IV (Section 2) of the Constitution.

- i. Lai Hock Meng, CFA

5. To appoint RSM Chio Lim LLP as auditors.

i.	For	Against	Abstain	
5.	For	Against	Abstain	

As witness my hand this \_\_\_\_\_ day of May 2019.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Note:**

*Any corrections or amendments made will render this Proxy Form invalid. Please submit a fresh Proxy Form if you decide to change your voting status for any of the agenda item. Only 1 Proxy Form will be accepted.*