Ethical Trading & Good Governance: Aspiring to a Higher Standard

WEDNESDAY, 16 DECEMBER 2015
Room 1601, 16th Floor, Securities and Exchange Commission

SPECIALY PRESENTED BY CFA SOCIETY THAILAND & SECURITIES AND EXCHANGE COMMISSION

Event Overview

The issue of ethical trading and good governance has come under a great deal of attention recently. As leaders in the highest ethical standards of investing, CFA Society Thailand and the SEC would like to invite you to a panel discussion on how unethical conduct such as insider trading are harmful to market participants, and how we can aspire to a higher standard of ethics and good governance. Our panel is joined by representatives from various stakeholders in the industry.

Featured Panelists

Dr. Bandid Nijathaworn
President, Thai Institute of Directors

Mr. Rapee Sucharitakul
Secretary-General, Securities and Exchange Commission

Mrs. Voravan Tarapoom
President, the Association of Investment Management Companies

Mr. Win Phromphaet, CFA
Vice President, CFA Society Thailand
### Agenda

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>14:00</td>
<td>Registration</td>
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<tr>
<td>14:15</td>
<td>Opening Remarks</td>
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<td><em>Mr. Andrew Stotz, CFA - President, CFA Society Thailand</em></td>
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<tr>
<td>14:30</td>
<td>Panel Discussion</td>
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<td>Moderated by Assist. Prof. Sira Suchintabandid, Ph.D., CFA – Board of Director, CFA Society Thailand</td>
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<td>16:00</td>
<td>Floor discussion and Q &amp; A</td>
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<td>16:30</td>
<td>End of Event</td>
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(Subject to change)

### Registration Fees

Free-of-charge

### How to Register

- ✔ Registration is open now until 15 December 2015, noon.
- ✔ For CFA Institute/CFA Society Thailand members and CFA Program® Candidate: Click [here](#) to register.
- ✔ For public: Click [here](#) to register.

**LIMITED SEATS AVAILABLE IN FIRST-COME-FIRST-SERVE! REGISTER NOW**

### Venue

Room 1601, 16th Floor, Securities and Exchange Commission 333/3 Vipavadi Rangsit Road, Chomphon, Chatuchak Bangkok 10900

### Policy of Cancellation

1. Cancellation in written form must be received by 15 December 2015, noon.

2. CFA Society Thailand reserves the rights to refuse those participants who registered for the event and do not show-up without giving advance notices, from our future activities and benefits.

3. CFA Society Thailand and SEC reserve the right to change the date or cancel the event and will attempt to give registrants sufficient notice prior to such changes.