

CANDIDATE ASSOCIATE MEMBERS Membership Agreement

Code of Ethics & Standards of Professional Conduct

The CFA Institute Code of Ethics and Standards of Professional Conduct are fundamental to the values of CFA Institute and CFA Society Vancouver, and are essential to achieving CFA Society Vancouver's mission to lead the investment profession by promoting ethical behaviour, professional excellence and fellowship.

High ethical standards are critical to maintaining the public's trust in financial markets and in the investment profession. Since their creation in the 1960s, the Code and Standards have promoted the integrity of CFA Institute members and candidates, and have served as a model for measuring the ethics of investment professionals globally, regardless of job function, cultural differences, or local laws and regulations.

Candidates of the CFA Program must abide by the Code and Standards and are encouraged to notify their employer of this responsibility. Violations may result in disciplinary sanctions by CFA Institute. Sanctions can include revocation of membership, revocation of candidacy in the CFA Program, and revocation of the right to use the CFA designation.

Candidate Associate Membership Inclusions

CFA Society Vancouver's Associate Membership is available to candidates currently enrolled in the CFA Program and runs from July 1 to June 30. Membership dues will not be prorated or refunded. All applications must be reviewed and approved by CFA Society Vancouver's board of directors prior to memberships being issued. Should a candidate not continue with the CFA Program, their membership will be discontinued on their membership renewal date and their status with CFA Society Vancouver will be changed to nonmember. Level III candidates who successfully pass the Level III exam and are applying for membership with CFA Institute, will have their membership prorated.

The Associate Membership entitles candidates to member pricing for all CFA Society Vancouver events; access to CFA Society Vancouver's job board; candidate programs including discounted mock exams, the candidate reception, study groups, and the candidate newsletter; and complimentary charter awards ceremony registration for those who have met all the requirements for the CFA charter.

Candidate Associate Member Agreement

I have read, understood, and agree to comply with CFA Institute's Code of Ethics, Standards of Professional Conduct, Rules of Procedure from Proceedings Related to Professional Conduct, Candidate Responsibility Statement, and other rules and regulations established by CFA Institute (Rules and Regulations) as amended from time to time.

Print Name: _____ Signature: _____

Date: _____ Candidate ID: _____

Mission Statement

To lead the investment profession by promoting ethical behaviour,
professional excellence, and fellowship.