



THE FUTURE OF ASSET MANAGEMENT REGULATION *Politics, Policy, and Portfolios*

Wednesday, October 28, 2020 | 9:00AM – 12:00PM ET

What does the future hold for asset management regulation? CFA Society Washington, DC's marquee conference is dedicated to answering that very question.

You are invited to join us for an insider's view during this half-day virtual conference dedicated to the future of both institutional asset management and wealth management. Our panels of preeminent political experts, senior regulators, chief legal officers, and industry executives will candidly examine current trends and explore possible future regulatory developments. Additionally, you'll hear from a panel of industry insiders who will share their thoughts on how politics will influence policy and how the elections will affect the course of asset management regulation.

By investing in a CFAW conference sponsorship, you are dramatically increasing your company's visibility within the Washington, DC investment management community.

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SPEAKERS



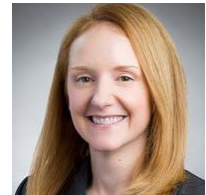
Barry P. Barbash
Senior Counsel,
Wilkie Farr and Gallagher



Andrew Bowden
Executive Vice President & General
Counsel, Jackson National Life
Insurance Company



Robert Colby
Chief Legal Officer,
FINRA



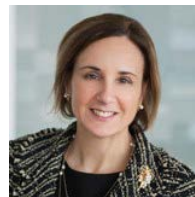
Kristy Croushore
Vice President, Government
Relations,
Fidelity Investments



Scott Curtis
President, Private Client Group,
Raymond James Financial



Daniel Gallagher
Chief Legal Officer,
Robinhood



Amy Kroll
Partner,
Morgan, Lewis & Bockius



Walton Liles
Principal,
Blue Ridge Law and Policy, P.C.



Matthew Malone, CFA
Managing Director, Real Estate
FS Investments



Anthony Marrelli, CFA
First Vice President and
Financial Advisor,
RBC Wealth Management



Thomas Selman, CFA
Founder,
Scopus Financial Group



Sarah ten Siethoff
Associate Director, Division of
Investment Management,
SEC

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AGENDA

9:00 AM WELCOME

Julie Dellinger, CFA, Board Chair, CFA Society Washington, DC

9:05 AM ASSET MANAGEMENT AND THE ELECTION PANEL

Kristy Croushore, Vice President, Government Relations, Fidelity Investments
Walton Liles, Principal, Blue Ridge Law and Policy, P.C.
Daniel Gallagher, Chief Legal Officer, Robinhood

Moderator: Thomas Selman, CFA, Founder, Scopus Financial Group

10:00 AM INSTITUTIONAL ASSET MANAGEMENT PANEL

Barry Barbash, Senior Counsel, Wilkie Farr and Gallagher
Andrew Bowden, Executive Vice President and General Counsel, Jackson National Life Insurance Co.
Sarah ten Siethoff, Associate Director, Division of Investment Management, SEC

Moderator: Matthew Malone, CFA, Managing Director, Real Estate, FS Investments

11:00 AM WEALTH MANAGEMENT PANEL

Robert Colby, Chief Legal Officer, FINRA
Scott Curtis, President, Private Client Group, Raymond James Financial
Amy Kroll, Partner, Morgan, Lewis & Bockius

Moderator: Anthony Marrelli, CFA, First Vice President, Financial Advisor, RBC Wealth Management

12:00 PM CLOSING COMMENTS

Thomas Selman, CFA, Founder, Scopus Financial Group

*This event is in-house and is not being broadcasted, nor recorded. No media will be present and speaker or attendee attribution, in any form, is a violation of CFA Society Washington, DC's code of conduct.

**For additional details, please visit the conference website at <https://bit.ly/3bjYKlq>.

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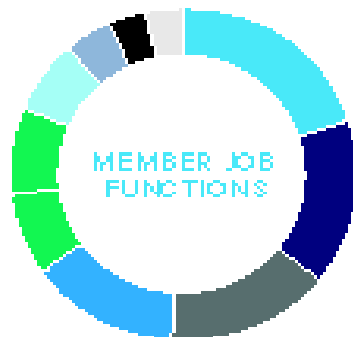
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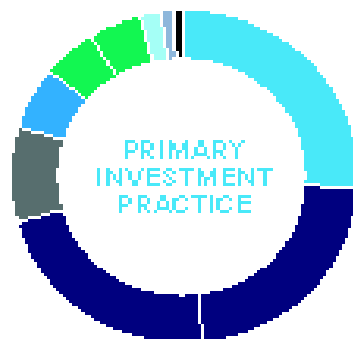
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- 3% Accountant or Auditor



- 26% Equities
- 22% Not Applicable
- 22% Fixed Income
- 3% Other
- 6% Real Estate
- 5% Private Equity
- 5% Structured Products
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- 1% Hedge Funds
- 1% Venture Capital
- 1% Commodities

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