Challenges for the Future

EVENT OVERVIEW

Investment professionals and other stakeholders in the financial industry including regulators and policy makers in Japan are facing numerous challenges, ranging from local issues peculiar to Japan to ones common in many other parts of the world. The conference will address two of the key challenges we are facing. Part I of the conference will be dedicated to a discussion on a challenge peculiar to Japan. Part II will be dedicated to a discussion on a common challenge that many investment professionals around the globe are presently facing.

PART I: Future of Finance Initiatives (Putting Investor First Event)
To convert Tokyo into a truly investor-friendly global financial centre under the “Tokyo International Financial Centre” Initiatives

The “Initiatives for the Tokyo Global Financial Centre” are the ambitious initiatives to transform Tokyo into Asia’s top financial centre (comparable to New York and London). With just four years to go before the 2020 Summer Olympic Games being held in Tokyo, these initiatives are attracting significant attention of financial professionals, regulators, policy-makers and other stakeholders in the financial industry in Japan. In 2014, Mr. Shinzo Abe, Japan’s Prime Minister, unveiled, in the Japan Revitalization Strategy, his strategy to set Tokyo’s expanded role as a leading global financial centre as part of the growth strategy of Abenomics. Tokyo, Japan’s capital city, promptly followed suit. The Tokyo Metropolitan Government announced its vision to re-establish Tokyo as a global financial centre, calling for unified efforts by the government and the private sector to attain this target. Since then, various public-private, trans-industrial collaborations, including ones involving government agencies, stock exchanges, the securities industry and the investment management industry, have been created and put into motion. In addition, numerous private-sector think tanks have made various proposals to achieve this goal. The “Initiatives for the Tokyo Global Financial Centre” form part of the growth strategy of Abenomics on the financial side. Enhanced market integrity and strengthened investor protection essential for the Initiatives have a common thread with the two key strategic codes implemented recently: the Stewardship Code and the Corporate Governance Code, effected in 2014 and 2015, respectively. These are all intended to make the Japanese financial market investor-friendly and globally competitive. Part I of the conference will be dedicated to speeches and a discussion on the Initiatives, including the prerequisites for Tokyo to become a more attractive, trustworthy financial centre, proposed measures to achieve the goal, and the key challenges and issues surrounding the Initiatives.

PART II: Investment Forum
Global Investing amid Historic Uncertainties - How to Navigate through Unchartered Waters

Global financial markets are riddled with unusually high levels of uncertainties, which are posing significant challenges for many investment professionals around the world. The uncertainties are caused by, in our view, the series of unprecedented or historic new developments that most of the active investment professionals today have never experienced before, including the decelerating Chinese economy, a massive refugee influx from the Middle East into Europe and the subsequent social instability, unprecedented political developments in the US, escalating regional conflicts and asymmetric warfare in the absence of a strong global leader, and the introduction of negative interest rates in Europe and Japan. These uncertainties make it challenging to accurately project future market developments and formulate effective investment strategies. Also, there is a possibility that “FinTech” and greater use of Big Data could usher in a revolutionary change in the financial services industry. Part II of the conference will be dedicated to presentations on these key issues impacting global financial markets by experts on respective areas, and a discussion on effective global investment strategies amid the current challenging environment.
Japan Investment Conference 2016
15 July 2016 (Fri), FINE Tokyo, Tokyo Japan
Hosted by CFA Society Japan

AGENDA

9:00- Registration

PART I: Future of Finance Initiatives
(Putting Investor First Event)
To convert Tokyo into a truly investor-friendly global financial centre under the “Tokyo International Financial Centre” Initiatives

9:30-9:45 Opening Remarks
Paul Smith, CFA (President and CEO, CFA Institute)

9:45-10:45 Keynote Speech 1: "Strategic Importance of the Initiatives for the Tokyo Global Financial Centre" Masato KANDA (Deputy Commissioner for International Affairs, FINANCIAL SERVICES AGENCY)
Keynote Speech 2: "Outline of the Initiatives for the Tokyo Global Financial Centre" Head of Initiatives for the Tokyo Global Financial Centre at Tokyo Metropolitan Government

10:45-11:15 Speech: “Prerequisites of Global Financial Centres - Comparative Study, Suggestions and Proposals to Tokyo” Tony Tan, DBA, CFA (Head, Standards and Advocacy Division, Asia Pacific, CFA Institute)

11:15-11:25 Intermission

11:25-12:25 Panel Discussion: “Prerequisites and issues to be addressed for the success of Initiatives for the Tokyo Global Financial Centre: from investors’ perspectives”

11:25-11:40 "Initiatives to improve further the attractiveness and competitive position of Tokyo Stock Exchange as an international financial exchange" Takeshi Hirano (Executive Officer, Corporate Strategy, JAPAN EXCHANGE GROUP, INC.)

11:40-11:55 "Tokyo's potential attractiveness and issues to be resolved for foreign financial institutions" Paul Hunter (Secretary General, INTERNATIONAL BANKERS ASSOCIATION OF JAPAN)

11:55-12:10 "Investor protection and fostering of investor trust: Promoting market integrity, Statement of Investor Right, and the role of CFAs as the leaders of ethical investment professionals" Tony Tan, DBA, CFA (Head, Standards and Advocacy Division, Asia Pacific, CFA Institute)

12:10-12:25 "Issues to be resolved for the Tokyo Stock Market: Investor perspectives” Takeshi (Tad) Fukushima (Managing Director, Chief Investment Officer, BlackRock Japan Co., Ltd.)

12:25-13:15 Discussion (Above 4 panelists)
Moderated by Taketsugu Harada, CFA (Secretary and Board Director, CFA Society Japan)

13:20-13:30 Sponsor presentation 1

13:30-14:35 Lunch

13:45-14:30 Special Luncheon Speech: “FOCUSED INVESTING, FOCUSED LIFE - A veteran professional’s portrait about investing and life”
Soonyky Shin, CFA (Vice President, Municipal Credit Analyst, Brown Brothers Harriman & Co.)

PART II: Investment Forum
Global Investing amid Historic Uncertainties - How to Navigate through Unchartered Waters

14:35-15:20 Presentation by a global investment strategist
Yuichi Chiguchi (Director, Head of Multi-Asset Strategies Group in Japan, BlackRock Japan Co., Ltd)

15:20-16:05 China's Economic Challenges for the Next Decade
Andy Xie (Renowned China Economist, Former Morgan Stanley Chief Asia-Pacific Economist)

16:05-16:15 Sponsor presentation 2

16:15-16:35 Intermission

16:35-16:50 Presentation by Steve Garton, Editor, IFR Asia, Thomson Reuters

16:50-17:35 Panel Discussion: Global Investing amid Historic Uncertainties - How to Navigate through Unchartered Waters
Yuichi Chiguchi, Andie Xie, Steve Garton and other panelists (TBA)

17:35-17:40 Closing Remarks

18:00-19:30 Reception

The program is subject to change without prior notice.

Registration fees

<table>
<thead>
<tr>
<th>Category</th>
<th>Early bird rate</th>
<th>Standard rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>CFA Society Japan (CFAJ) member</td>
<td>JPY 2,000</td>
<td>JPY 3,000</td>
</tr>
<tr>
<td>CFA Candidate</td>
<td>JPY 2,500</td>
<td>JPY 4,000</td>
</tr>
<tr>
<td>Non-CFAJ member</td>
<td>JPY 3,000</td>
<td>JPY 5,000</td>
</tr>
</tbody>
</table>

* Cancelation policy:
- Prior to 30 June: A cancellation fee of JPY 2,000 will apply.
- After 1 July: No refund will be granted.

FEATED SPEAKERS

Paul Smith, CFA
President and CEO, CFA Institute

Masato KANDA
Deputy Commissioner for International Affairs, FINANCIAL SERVICES AGENCY

Takeshi Hirano
Executive Officer, Corporate Strategy, JAPAN EXCHANGE GROUP, INC.

Tony Tan, DBA, CFA
Head, Global Society Advocacy Engagement, Head, Standards and Advocacy Division, Asia Pacific, CFA Institute

Paul Hunter
Secretary General, INTERNATIONAL BANKERS ASSOCIATION OF JAPAN

Takeshi (Tad) Fukushima
Managing Director, Chief Investment Officer, BlackRock Japan Co., Ltd.

Soonyky Shin, CFA
Vice President, Municipal Credit Analyst, Brown Brothers Harriman & Co.

Yuichi Chiguchi
Director, Head of Multi-Asset Strategies Group in Japan, BlackRock Japan Co., Ltd

Andy Xie
Renowned China Economist, Former Morgan Stanley Chief Asia-Pacific Economist

Steve Garton
Editor, IFR Asia, Thomson Reuters

Venue: FINE Tokyo

5th Floor, South Tower, Otemachi Financial City, 9-7 Otemachi Itchome, Chiyoda-ku, Tokyo 100-0004, Japan

Direct Connection via underground passage to Otemachi Metro Station (Chiyoda, Hanzomon, Marunouchi, Tozai & Mita subway lines) & JR Tokyo Station

http://www.finetokyo.com/access/